

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: A. Mashimo Attorney Docket No.: WISC124370  
Application No.: 10/082,129 Group Art Unit: 2651  
Filed: February 26, 2002 Examiner: A. Giesy  
Title: OPTICAL DISK DEVICE

2005 JUL 11 PM 1:21

**REQUEST FOR REFUND**  
**(IMPROPER CHARGE OF DEPOSIT ACCOUNT)**

Seattle, Washington 98101

July 6, 2005

DIRECTOR – U.S. PATENT AND TRADEMARK OFFICE:

ATTENTION: Refund Section, Accounting Division, Office of Finance

I. Refund Request

This is a request for refund with respect to the charge for Submission of an Information Disclosure Statement to Deposit Account No. 03-1740, dated June 10, 2005, for the above-identified patent application. A copy of the monthly statement, in which the error referred to occurs, accompanies this request.

II. Fees Charged for Which Refund Requested

Amount of Fee Requested

X 1. Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 C.F.R. § 1.16(e)) \$180.

Total Refund Requested: \$180.00

III. Explanation of Why Contested Charge Is in Error

A Supplemental IDS was filed on June 1, 2005, under 37 CFR 1.97(c), which recites that an IDS "shall be considered by the Office" if it is accompanied by one of: "(1) The statement specified in paragraph (e) of this section; or (2) The fee set forth in 1.17(p)". *We filed the IDS with the statement specified in paragraph (e), and therefore no fee is required.*

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

IV. Manner of Refund

Please make refund by crediting Deposit Account No. 03-1740.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>



Shoko I. Leek  
Registration No. 43,746  
Direct Dial No. 206.695.1780

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to Mail Stop 16, Director - U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on the below date.

Date:

July 6, 2005

Shoko I. Leek

SIL:dlw



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Patent and  
Trademark Office**

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**Deposit Account Statement**

**Requested Statement Month:** June 2005  
**Deposit Account Number:** 031740  
**Name:** CHRISTENSEN O'CONNOR JOHNSON & KINDNESS  
**Attention:**  
**Address:** 2800 PACIFIC FIRST CENTRE  
**City:** SEATTLE  
**State:** WA  
**Zip:** 98101  
**Country:** UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
06/01	50	1374600	CR11-2-16642	8521	\$40.00	\$3,007.98
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06/01	131	2829825	MITS-2-28546	8521	\$40.00	\$2,567.98
06/01	164	29225506	PCCR124058	8021	\$40.00	\$2,527.98
06/01	166	29225502	PCCR124057	8021	\$40.00	\$2,487.98
06/01	169	29225509	PCCR124872	8021	\$40.00	\$2,447.98
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06/02	224	10536369	FHCR125375	2614	\$50.00	\$7,250.98
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06/03	136	29215192	KIML123819	8021	\$40.00	\$6,185.98
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06/03	159	76442048	PPDM-2-28618	7004	\$150.00	\$5,710.98
06/03	177	29217736	KIML123965	8021	\$40.00	\$5,670.98
06/03	206	0143860031	TYDG-1-25140	8014	\$25.00	\$5,645.98

06/03 561 78151800	DIAC230795	7004	\$150.00	\$5,495.98
06/03 823 78642721	IMPJ-2-32704	7001	\$325.00	\$5,170.98
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06/06 66 29215304	STBK-1-23708	8021	\$40.00	\$4,805.98
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06/06 104 29204670	KIML122381	8021	\$40.00	\$4,605.98
06/06 122 11072360	MEIP-1-24008	8021	\$40.00	\$4,565.98
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✓06/06 2068 1940873	IABR-2-12592	7201	\$800.00	\$2,265.98
06/07 16 E-REPLENISHMENT		9203	✓-\$5,000.00	\$7,265.98
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✓06/07 298 78644506	MIL1232715	7001	\$325.00	\$6,845.98
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✓06/08 36 11120495	MSFT-1-24632	8021	\$40.00	\$6,265.98
✓06/08 55 10713553	TDMF-1-21766	8021	\$40.00	\$6,225.98
✓06/08 57 2262063	ASSG-2-17068	7205	\$200.00	\$6,025.98
✓06/08 58 2262063	ASSG-2-17068	7208	\$400.00	\$5,625.98
✓06/08 85 78214647	LOSV-2-29213	7004	\$150.00	\$5,475.98
✓06/08 115 78214658	LOSV-2-29212	7004	\$150.00	\$5,325.98
✓06/08 148 11117806	MSFT124205/310677.01	8021	\$40.00	\$5,285.98
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✓06/08 1667 78350182	JORC228600 30529	7004	\$300.00	\$4,970.98
✓06/08 1696 78214640	LOSV-2-29210	7004	\$150.00	\$4,820.98
✓06/08 1713 2259377	EXPE-2-18083	7205	\$200.00	\$4,620.98
✓06/08 1714 2259377	EXPE-2-18083	7208	\$400.00	\$4,220.98
✓06/09 3 2795637	FABJ-2-27865 19783	8521	\$40.00	\$4,180.98
✓06/09 4 2795637	FABJ-2-27865 19783	8522	\$25.00	\$4,155.98
✓06/09 31 PCT/US05/14928	VANS-1-25198	9204	-\$700.00	\$4,855.98
✓06/09 36 SUBSCRIPTION	SOGS	8001	\$3.00	\$4,852.98
✓06/09 85 60670944	NFNP-1-24993	8021	\$40.00	\$4,812.98
✓06/09 166 11066597	MEGC124289	8021	\$40.00	\$4,772.98
✓06/09 787 78646709	AMZN-2-32702	7001	\$325.00	\$4,447.98
✓06/09 791 78646714	AMZN-2-32703	7001	\$325.00	\$4,122.98
✓06/09 813 78646729	PENX-2-32589	7001	\$325.00	\$3,797.98
✓06/09 825 78646736	PENX-2-32650	7001	\$325.00	\$3,472.98
✓06/09 1603 78647124	KHIP-2-32721	7001	\$325.00	\$3,147.98
✓06/09 1828 78647227	VTHR-2-32722	7001	\$325.00	\$2,822.98
06/10 6 E-REPLENISHMENT		9203	✓-\$5,000.00	\$7,822.98
→ 06/10 10 10082129	WC-01-11-03.00 MIS24370	1806	\$180.00	\$7,642.98 Refund
06/10 55 60521419	TCOF-1-24215 / 24216	8021	\$80.00	\$7,562.98

✓06/10 56	10065419	TCOF-1-24217 / 24243	8021	\$80.00	\$7,482.98
✓06/13 43	10714409	TDMF-1-21765	8021	\$40.00	\$7,442.98 <i>cost charge</i>
✓06/13 56	2800899	AWAP-2-27205	8521	\$40.00	\$7,402.98
✓06/13 57	11064420	WOTL-1-24633	8021	\$40.00	\$7,362.98
✓06/13 72	78648285	AWAP-2-32723	7001	\$325.00	\$7,037.98
06/13 76	10519975	BOEH-1-24170	9204	-\$100.00	\$7,137.98 <i>KLM</i>
✓06/13 557	78648549	MMSI-2-32716	7001	\$650.00	\$6,487.98
✓06/13 1775	76374466	MDPC228159	7004	\$150.00	\$6,337.98
✓06/13 1816	78144968	TECO-2-28567	7004	\$150.00	\$6,187.98
06/14 40	E-REPLENISHMENT		9203	✓\$5,000.00	\$11,187.98
✓06/14 214	60573447	PUSB122767	8021	\$80.00	\$11,107.98
✓06/14 647	78649660	GLFC-2-31950	7001	\$325.00	\$10,782.98
✓06/14 801	78257157	ASCO229778	7003	\$200.00	\$10,582.98
✓06/14 956	78071921	NOAM-2-30599	7004	\$150.00	\$10,432.98
✓06/14 1585	2257899	CREO217232	7205	\$100.00	\$10,332.98
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✓06/14 1677	1873256	CREO229140	7205	\$100.00	\$10,032.98
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✓06/15 644	78222103	MADEAW229278	7003	\$100.00	\$8,292.98
✓06/15 1764	76290807	SCOL-2-27134	7004	\$150.00	\$8,142.98

START  
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\$3,047.98

SUM OF  
CHARGES  
\$15,705.00

SUM OF  
REPLENISH  
BALANCE  
\$20,800.00 \$8,142.98

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265!  
MAIL STOP AMENDMENT *EW*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: A. Mashimo Attorney Docket No.: WISC124370  
Application No.: 10/082,129 Group Art Unit: 2651  
Filed: February 26, 2002 Examiner: A. Giesy  
Title: OPTICAL DISK DEVICE

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

TO THE COMMISSIONER FOR PATENTS:

Applicant is aware of the information listed in the attached form that may be material to the prosecution of the above-identified patent application.

1. X A copy of the listed patent and publications are enclosed for the Examiner's use.
2. X A concise explanation of the relevance of documents Cite Nos. F4 and F5 (which are not in the English language), as presently understood by the individual designated under 37 C.F.R. § 1.56(c) most knowledgeable about their content, is provided in an English abstract appended to said documents.
3. X Pursuant to 37 C.F.R. § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in 37 C.F.R. § 1.97(b) but before the mailing date of a final action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution, and is accompanied by a certification statement as specified in 37 C.F.R. § 1.97(e).
4. X The Commissioner is hereby authorized to charge any fees, or credit any overpayment, to Deposit Account No. 03-1740.

Adjustment Date: 08/24/2005 SDIRETA1  
06/10/2005 EDAVIS 00000010 031740 10082129  
01 FC:1806 180.00 CR

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLC</sup>

*Shoko I. Leek*

Shoko I. Leek  
Registration No. 43,746  
Direct Dial No. 206.695.1780

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the below date.

Date: June 1, 2005

06/10/2005 EDAVIS 00000010 031740 10082129

*Victoria J. Leek*

SIL:vas

WISC1243701.DOC

LAW OFFICES OF  
CHRISTENSEN O'CONNOR JOHNSON KINDNESS<sup>PLC</sup>  
1420 Fifth Avenue  
Suite 2800  
Seattle, Washington 98101  
206.682.8100

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